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D PETER HOCHBERG CO LPA

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Jan 6, 2004
Date

Kurt Hotchkiss
Kurt Hotchkiss

Attorney File: XX01631US.CIP (#90575)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Christopher E. Milliken, Michael A. Petrik, Robert C. Ruhl

Serial No. 10/671,902

Group No.

Filed: September 25, 2003

Examiner:

For: SOLID OXIDE FUEL CELL STACK ASSEMBLY HAVING TAPERED DIFFUSION LAYERS

Assistant Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the Patent and Trademark Office in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error in that the following data is:

() incorrectly entered

and/or

(X) omitted

() Applicant's name

(X) Domestic Priority data as claimed by applicant

() Applicant's address

() Title

() Filing Date

2a.

CERTIFICATION OF FACSIMILE TRANSMISSION

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Facsimile No.: (703) 746-9195

Jan 6, 2004
Date

Kathi Hotchkiss
Kathi Hotchkiss

Attorney File: XX0163US.CIP (#90575)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Christopher E. Milliken, Michael A. Petrik, Robert C. Ruhl

Serial No. 10/671,902

Group No.

Filed: September 25, 2003

Examiner:

For: SOLID OXIDE FUEL CELL STACK ASSEMBLY HAVING TAPERED
DIFFUSION LAYERS

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2. There is an error in that the following data is:

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☒ omitted

☐ Applicant's name

☒ Domestic Priority data as claimed by applicant

☐ Applicant's address

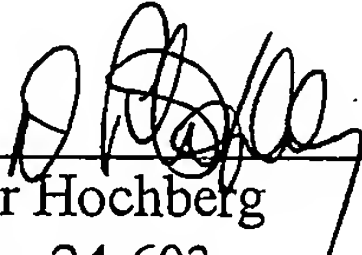
☐ Title

☐ Filing Date

- ☐ Serial No.
- ☐ Foreign/PCT Application Reference
- ☐ Other

in that the filing receipt should read as follows: Please add the following in "Domestic Priority..."

--and claims benefit of 60/413,858 filed 9-26-2002--



D. Peter Hochberg
Reg. No. 24,603

Att.: Copy of Filing Receipt

D. Peter Hochberg Co., L.P.A.
The Baker Building
1940 East 6th Street, Sixth Floor
Cleveland, Ohio 44114
(216) 771-3800



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/671,902	09/25/2003	1746	375	XX0163US.CIP (#90575)	4	18	3

CONFIRMATION NO. 8026

28672

D. PETER HOCHBERG CO. L.P.A.
1940 EAST 6TH STREET
CLEVELAND, OH 44114

work
copy

FILING RECEIPT



OC000000011512875

Date Mailed: 12/17/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Christopher E. Milliken, Highland Heights, OH;
Michael A. Petrik, Highland Heights, OH;
Robert C. Ruhl, Cleveland Heights, OH;

Domestic Priority data as claimed by applicant

This application is a CIP of 09/992,272 11/14/2001
which claims benefit of 60/249,098 11/15/2000

and claims benefit of
60/413,858 filed 9.26.2002

Foreign Applications

If Required, Foreign Filing License Granted: 12/16/2003

Projected Publication Date: 03/25/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Solid oxide fuel cell stack assembly having tapered diffusion layers

2d.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
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APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/671,902	09/25/2003	Christopher E. Milliken	XX0163US.CIP (#90575)

CONFIRMATION NO. 8026

28672
D. PETER HOCHBERG CO. L.P.A.
1940 EAST 6TH STREET
CLEVELAND, OH 44114



OC000000011721594

Date Mailed: 01/20/2004

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Domestic Continuity and Foreign Priority

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
- ☐ Continuity claimed under 35 U.S.C. § 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- ☒ **A claim for priority cannot be made based on an application filed after the application making the claim. 60/413,858 filing date 09/26/2002**
- ☐ Domestic benefit and foreign priority claims will not be captured in a provisional application. A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 U.S.C. § 111(b)(7) and 37 CFR 1.53(c)(4).
- ☐ A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.
- ☐ This application is the result of a conversion from a provisional application. Priority based on such application cannot be made since it no longer exists as a provisional application.
- ☐ The application(s) to which priority is claimed were filed over a year prior to the filing date of this application. Therefore, the referenced application(s) cannot be claimed as domestic or

3a.

— foreign priority.

☐ To change the benefit claim of a U.S. prior-filed application, applicant must amend the first sentence of the specification (if the benefit claim is referenced in the specification), or provide a supplemental application data sheet (ADS) (if the benefit claim was submitted in an ADS), with the desired benefit claim. Note that once a benefit claim is deleted, applicant will not be able to claim such prior-filed application again, if the above-identified application was filed on or after November 29, 2000.

☐ To change a foreign priority claim, applicant must submit a supplemental oath or declaration (if the priority claim is referenced in the oath or declaration), or a supplemental application data sheet (ADS) (if the priority claim was submitted in an ADS), with the desired priority claim. If a supplemental ADS is submitted, any deletions should be shown with strikeouts. Note that once a priority claim is deleted, applicant will not be able to claim such foreign application again, if the above-identified application was filed on or after November 29, 2000.

Harmanet Tegbaru

Customer Service Center

Office of Initial Patent Examination

(703) 308-1202

36.

NAME: D PETER HOCHBERG CO LPA
 TEL : 216 771 3804
 DATE: 02/12/04 14:50

TRANSMIT: 17033087749			DURATION	PAGE	SESS	RESULT
TYPE: MEMORY TX	MODE	E-144	02'22	08	606	OK

FACSIMILE TRANSMISSION SHEET

D. PETER HOCHBERG CO., L.P.A.
ATTORNEYS AT LAW
THE BAKER BUILDING - 6TH FLOOR
1940 EAST 6TH STREET
CLEVELAND, OHIO 44114
 e-mail: dphochberg@aol.com

Telephone: (216) 771-3800 / Facsimile: (216) 771-3804

TO: Ms. Valerie Kinard - OIPE

FAX NO: 1 (703) 308-7749

FROM: Christine Kotran for D. Peter Hochberg Co., L.P.A.

DATE February 12, 2004

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 2

MESSAGE: Milliken et al. U.S. Patent Appln. 10/671,902
 Filed September 25, 2003
 SOLID OXIDE FUEL CELL STACK ASSEMBLY
 HAVING TAPERED DIFFUSION LAYERS
 Our Ref. XX0163US.CIP (#90575)

In accordance with our telephone conversation this morning, I am faxing copies of the following documents:

- 1) Page 1 of the application as filed (note particularly the details of paragraph [00001])
- 2) Our "Request for Corrected Filing Receipt" faxed to the OIPE on January 6, 2004.
- 3) The "Auto-Reply Facsimile Transmission" acknowledgment of our Request
- 4) The "Response to Request for Corrected Filing Receipt Domestic Continuity and Foreign Priority" mailed on 01/20/2004 which denied our request for correction of the Filing Receipt with respect the priority claim.

Item 4 above indicates, "A claim for priority cannot be made based on an application filed after the application making the claim, 60/413,858 filing date 09/26/2002." We believe this document was issued in error because the filing date of the application for which priority is claimed (i.e., 09/26/2002) is within a period of one-year before the filing date of 09/25/2003 of the present application claiming it as priority. Accordingly, it is respectfully requested that the issuance of the corrected filing receipt be expedited.

If you have any further questions concerning this matter, please telephone me.

*****PRIVILEGED AND CONFIDENTIAL*****

This communication is intended only for the use of the named addressee(s) and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone (216) 771-3800 or e-mail (dphochberg@aol.com) and return the original message to us at the above address via the U.S. Postal Service. Thank you.

4

* * * Communication Result Report (Oct.17. 2006 12:23PM) * * *

FAX HEADER:

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M.3) No answer

M.2) Busy
M.4) No facsimile connection

FACSIMILE TRANSMISSION SHEET

D. PETER HUCHENRICH CO., L.P.A.
ATTORNEYS AT LAW
THE BAKER BUILDING - 6TH FLOOR
190 EAST 6TH STREET
CLEVELAND, OHIO 44114
e-mail: dhuchenrich@aol.com

Telephone: (216) 771-3800 / Fax: (216) 771-3804

TO: Patricia A. Ryan - Supervisor Fax: NO: 15712731292

FROM: Cheryl K. Ryan - D. Peter Huchench DATE: October 17, 2006

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 3

ADDRESSES: RE: WILKINSON v. USSEN (08/11/02 filed September 21, 2003)
SOLID OXIDE FUEL CELL STACK ASSEMBLY HAVING
TAPERED DIFFERENTIAL LAYERS (US Pat. 6,801,815 B2)
Amended in Opposition to Patent Application (US Pat. 6,801,815 B2)

Following our telephone conversation this morning, I am faxing copies of:

1. The first page of the patent application as filed. N.D. the "Cross-Reference to Related Applications" in the priority claims.

2. (Pages 2-4) Our "Request for Corrected Filing Receipt" dated January 6, 2004, with a USPTO auto-reply confirmation.

3. (Pages 3-4) "Response to Request for Corrected Filing Receipt" indicating "A claim for priority cannot be made based on an application filed after the application making the claim. 604/11,158 filing date 09/26/2002." - Actually, 604/11,158 filed 09/26/2002 is before the filing date of the application (10/6/11/02 filed 9/23/03) claiming it as one of the priority claims.

4. A copy of the continuing fee as eight-page fee transmitted to Mr. Valerie Kibard of OIPF concerning the same matter and after discussing the issues with Mr. Valerie Kibard. (No need to send you the same documents as above - just verification of the correspondence and another unsuccessful attempt to get a proper filing receipt for this case.)

Any help you can provide in obtaining a Corrected Filing Receipt adding - and which claims the benefit of 604/11,158 filed 09/26/2002 - will be appreciated. I would also appreciate your confirmation for our file that someone has been contacted to address this issue.

THANK YOU FOR ANY HELP YOU CAN PROVIDE.

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of 10/17/07